

FORM PTO-1390U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 5-93) <b>TRANSMITTAL LETTER TO THE UNITED STATES          DESIGNATED/ELECTED OFFICE (DO/EO/US)          CONCERNING A FILING UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER 9052-67 U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 09/700,057
INTERNATIONAL APPLICATION NO. PCT/GB99/01306	INTERNATIONAL FILING DATE May 13, 1999	PRIORITY DATE CLAIMED May 13, 1998
TITLE OF INVENTION <b>DEXTRIN-CONTAINING COMPOSITION FOR PREVENTING SURGICAL ADHESIONS</b>		
APPLICANT(S) FOR DO/EO/US Colin BROWN		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).</li> <li>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
<b>Items 11. to 16. below concern other document(s) or information included:</b>		
<ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.  <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>14. <input type="checkbox"/> A substitute specification.</li> <li>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>16. <input checked="" type="checkbox"/> Other items or information: Petition and Fee for Extension of Time.</li> </ol>		

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.50) <b>09/700,057</b>		INTERNATIONAL APPLICATION NO. <b>PCT/GB99/01306</b>		ATTORNEY'S DOCKET NUMBER <b>9052-67</b>	
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<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p style="margin-left: 20px;"><b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b>          Search Report has been prepared by the EPO or JPO . . . . . <b>\$860.00</b></p> <p style="margin-left: 20px;">International preliminary examination fee paid to USPTO          (37 CFR 1.482). . . . . <b>\$690.00</b></p> <p style="margin-left: 20px;">No international preliminary examination fee paid to USPTO          (37 CFR 1.482) but international search fee paid to USPTO          (37 CFR 1.445(a)(2)) . . . . . <b>\$710.00</b></p> <p style="margin-left: 20px;">Neither international preliminary examination fee (37 CFR 1.482)          nor international search fee (37 CFR 1.445(a)(2)) paid to          USPTO. . . . . <b>\$1,000.00</b></p> <p style="margin-left: 20px;">International preliminary examination fee paid to USPTO          (37 CFR 1.482) and all claims satisfied provisions of PCT          Article 33(1)-(4). . . . . <b>\$100.00</b></p> <p><b>ENTER APPROPRIATE BASIC FEE AMOUNT = \$</b></p> <p>Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than [ ] 20  <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). <b>\$130.00</b></p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:20%;">Claims</th> <th style="width:20%;">Number Filed</th> <th style="width:20%;">Number Extra</th> <th style="width:20%;">Rate</th> <th style="width:20%;"></th> <th style="width:20%;"></th> </tr> <tr> <td>Total Claims</td> <td style="text-align: center;">-20 =</td> <td style="text-align: center;">0</td> <td style="text-align: center;"><b>X \$18.00</b></td> <td>\$</td> <td></td> </tr> <tr> <td>Independent Claims</td> <td style="text-align: center;">- 3 =</td> <td style="text-align: center;">0</td> <td style="text-align: center;"><b>X \$80.00</b></td> <td>\$</td> <td></td> </tr> <tr> <td colspan="3">Multiple dependent claim(s) (if applicable)</td> <td style="text-align: center;"><b>+ \$270.00</b></td> <td>\$</td> <td></td> </tr> <tr> <td colspan="4"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td><b>\$130.00</b></td> <td></td> </tr> </table> <p>Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity          statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28). <b>\$</b></p> <p><b>SUBTOTAL = \$130.00</b></p> <p>Processing fee of <b>\$130.00</b> for furnishing the English translation later than          [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(f)). <b>\$</b></p> <p><b>TOTAL NATIONAL FEE = \$130.00</b></p> <p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment          must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b>          per property <b>+</b></p> <p><b>TOTAL FEES ENCLOSED = \$ 170.00</b></p>	Claims	Number Filed	Number Extra	Rate			Total Claims	-20 =	0	<b>X \$18.00</b>	\$		Independent Claims	- 3 =	0	<b>X \$80.00</b>	\$		Multiple dependent claim(s) (if applicable)			<b>+ \$270.00</b>	\$		<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$130.00</b>		<b>CALCULATIONS</b>	<b>PTO USE ONLY</b>
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Total Claims	-20 =	0	<b>X \$18.00</b>	\$																												
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02/07/2001 MNGUYEN 00000044 09700057 01 Fee: 154 130.00 DP	Amount to be refunded \$	
	charged \$	

  

a. ☒ A check in the amount of **\$280.00** to cover the above fees and the Extension of Time fee is enclosed.

b. [ ] Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the  
 above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
 overpayment to Deposit Account No. 50-0220.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must  
 be filed and granted to restore the application to pending status.**

**SEND ALL CORRESPONDENCE TO:**  
 USPTO Customer No. 20792  
 Robert J. Smith  
 Post Office Box 37428  
 Raleigh, North Carolina 27627  
 Myers Bigel Sibley & Sajovec

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being  
 deposited with the United States Postal Service as first  
 class mail in an envelope addressed to BOX PCT,  
 Commissioner for Patents, Washington, DC 20231, on  
 February 2, 2001

*Marjorie J. Pfeiffer*  
 Marjorie J. Pfeiffer  
 Date of Signature: February 2, 2001

SIGNATURE

Robert J. Smith  
 Registration No. 40,820